

Please type a plus sign (+) inside this box → 

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<i>Attorney Docket No.</i>	A35894-066340.0170
		<i>First Inventor</i>	Rudiger Hunger
		<i>Title</i>	WAFER LIFTING * see attached
		<i>Express Mail Label No.</i>	EV342493582US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

17354 U.S. PTO  
10/622050  
07/17/03

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b> Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450	
See MPEP chapter 600 concerning utility patent application contents.			
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 10] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description <input checked="" type="checkbox"/> Claim(s) [Total Sheets 3] <input checked="" type="checkbox"/> Abstract of the Disclosure [Total Sheets 1]</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3]</p> <p>5. Oath or Declaration [Total Pages 1] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>			

<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: .....</p>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)		21003 <small>or</small> <input type="checkbox"/> Correspondence address below		
Name				
Address				
City		State		
Country		Telephone		
Name (Print/Type)	Paul D. Ackerman		Registration No. (Attorney/Agent)	39,891
Signature			Date	7-17-2003

**Addendum Sheet 1****\*Question 4 Addendum**

4.a. Drawings are

 formal       informal**\* Question 5 Addendum**5.c.  An unsigned oath or declaration is included.**\* Question 8 Addendum**8.d.  A sequence submission will follow.**\* Question 9 Addendum**9.a.  Assignment documents will follow.9.b.  Assignment documents have been filed in  
parent application No.**\* Question 11 Addendum**11.a.  English translation will follow.**\* Question 12 Addendum**12.a.  Copies of IDS citations will follow.**\* Question 15 Addendum**15.a.  Certified copies of priority documents will follow.15.b.  Certified copies of priority documents have been filed in parent  
application No.

Use the space below for additional information

Inventors - Rudiger Hunger, Steffen Herberg and Falk Bednara

Title (continued):

WAFER LIFTING DEVICE

## Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

Amend the specification by inserting before the first line the sentence(s)

"This application is a  Continuation  Divisional  Continuation-in-part (CIP) of:

"This application is based upon:

    prior application No.: \_\_\_\_\_, incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

    prior application No. \_\_\_\_\_, incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

    prior application No. \_\_\_\_\_, incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

    prior application No. \_\_\_\_\_, incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

    prior application No. \_\_\_\_\_, incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

    prior application No. \_\_\_\_\_, incorporated by reference herein,

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

## Foreign Applications:

Country: GermanySerial No: 102 32 478.6Filing Date: 7-17-2002

Country: \_\_\_\_\_

Serial No: \_\_\_\_\_

Filing Date: \_\_\_\_\_

Country: \_\_\_\_\_

Serial No: \_\_\_\_\_

Filing Date: \_\_\_\_\_

## Provisional Applications:

Serial No: \_\_\_\_\_

Filing Date: \_\_\_\_\_

Serial No: \_\_\_\_\_

Filing Date: \_\_\_\_\_

Serial No: \_\_\_\_\_

Filing Date: \_\_\_\_\_

# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**750**

## Complete if Known

Application Number	TBA
Filing Date	TBA
First Named Inventor	Rudiger Hunger
Examiner Name	
Art Unit	
Attorney Docket No.	A35894-066340.0170

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

 Deposit Account:

Deposit Account Number

Deposit Account Name

**02-4377****Baker Botts LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1001	750		2001	375		Utility filing fee	<b>750</b>
1002	330		2002	165		Design filing fee	
1003	520		2003	260		Plant filing fee	
1004	750		2004	375		Reissue filing fee	
1005	160		2005	80		Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>						<b>750</b>	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	16	- 20 = 0	X	0
Independent Claims	1	- 3 = 0	X	0
Multiple Dependent				

Large Entity	Small Entity	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	84	2201 42 Independent claims in excess of 3
1203	280	2203 140 Multiple dependent claim, if not paid
1204	84	2204 42 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>		

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Fee (\$)	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051	130	2051 65		2051	65	Surcharge - late filing fee or oath	
1052	50	2052 25		2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130		1053	130	Non-English specification	
1812	2,520	1812 2,520		1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804 920*		1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840*		1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251 55		2251	55	Extension for reply within first month	
1252	410	2252 205		2252	205	Extension for reply within second month	
1253	930	2253 465		2253	465	Extension for reply within third month	
1254	1,450	2254 725		2254	725	Extension for reply within fourth month	
1255	1,970	2255 985		2255	985	Extension for reply within fifth month	
1401	320	2401 160		2401	160	Notice of Appeal	
1402	320	2402 160		2402	160	Filing a brief in support of an appeal	
1403	280	2403 140		2403	140	Request for oral hearing	
1451	1,510	1451 1,510		1451	1,510	Petition to institute a public use proceeding	
1452	110	2452 55		2452	55	Petition to revive - unavoidable	
1453	1,300	2453 650		2453	650	Petition to revive - unintentional	
1501	1,300	2501 650		2501	650	Utility issue fee (or reissue)	
1502	470	2502 235		2502	235	Design issue fee	
1503	630	2503 315		2503	315	Plant issue fee	
1460	130	1460 130		1460	130	Petitions to the Commissioner	
1807	50	1807 50		1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180		1806	180	Submission of Information Disclosure Stmt	
8021	40	8021 40		8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809 375		2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810 375		2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801 375		2801	375	Request for Continued Examination (RCE)	
1802	900	1802 900		1802	900	Request for expedited examination of a design application	
Other fee (specify) _____							

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

(Complete if applicable)							
Name (Print/Type)	Paul D. Ackerman	Registration No. (Attorney/Agent)	39,891	Telephone	212-408-2500		
Signature				Date	7-17-2003		